

# $\theta$ VACUUM IN A RANDOM MATRIX MODEL

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Inspired by recent lattice calculations, we model certain aspects of the  $\theta$ -vacuum using a matrix model with gaussian weights. The vacuum energy exhibits a cusp at  $\theta < \pi$  that is sensitive to both the accuracy of the numerical analysis and the maximum density of winding modes present in a finite volume.

Recent lattice study of the  $CP^3$  model on the lattice<sup>1</sup> have revealed an intriguing result: the energy as a function of the CP breaking  $\theta$  angle levels off at large  $\theta$ . The result is very interesting, since in that model the string tension for small charges is related to the  $\theta$ -derivative of the vacuum energy. A plateau indicates the loss of confinement. The result was reexamined in <sup>2</sup> where the authors pointed out to some subtleties regarding the technical methods applied.

In this talk we will address these issues using a random matrix model with the partition function

$$Z(\theta, N_f) = \left\langle \prod_{j=1}^{N_f} \det \begin{pmatrix} im_j e^{i\theta/N_f} & W \\ W^\dagger & im_j e^{-i\theta/N_f} \end{pmatrix} \right\rangle. \quad (1)$$

where the averaging is carried over the matrices  $W$ ,  $W^\dagger$  using the weight  $\exp(-n/2\text{Tr}W^\dagger W)$  and over the matrix size  $n$  and  $\sigma$ , with weights  $\exp(-\chi^2/2\chi_*V)$  and  $\exp(-\sigma^2/2\sigma_*V)$ , respectively. Here  $W$  is a complex asymmetric  $n_+ \times n_-$  matrix,  $n = n_+ + n_-$ , and  $\sigma \pm \chi = 2n_\pm - \langle n \rangle$ . The mean number of zero modes  $\langle n \rangle$  is either fixed from the outside or evaluated using the gaussian measure. Here and for simplicity we use the quenched measure without the fermion determinant to fix  $\langle n \rangle$ . Throughout, we set the value of the quark condensate to  $\Sigma = 1$  in the chiral limit.

Eq. (1) is borrowed from the effective instanton vacuum analysis <sup>3</sup> where  $n_+$  counts the number of right-handed zero modes, and  $n_-$  the number of left-handed zero modes. The number of exact topological zero modes is commensurate with the topological charge.

surate with the net winding number carried by the instantons and antiinstantons. By analogy with <sup>3</sup>,  $\chi_*$  and  $\sigma_*$  will refer to the unquenched topological susceptibility and particle compressibility, respectively, with  $\sigma_*^2 = 12\mathbf{n}_*/11N_c$  and  $\mathbf{n}_* = \langle n \rangle / V$  the mean density of zero modes. If the compressibility  $\sigma_*$  is assumed small in units of  $\Sigma = 1$ , then typically  $n \sim \langle n \rangle$ .

**quenched case:** In the case of the quenched partition function ( $N_f = 0$ ) one probes the nature of the gaussian measure. We start discussing the case where  $\langle n \rangle = \infty$  with no restriction on the value of  $n$ , and hence no restriction on the value of  $\chi$ . Then, we discuss the case where  $\langle n \rangle$  is large but finite, so that  $|\chi| \leq n$  with typically  $n \sim \langle n \rangle$  for a peaked distribution in  $n$ .

When the sum is unrestricted and infinite, using Poisson resummation formula we have

$$Z_Q(\theta) = \sum_{k=-\infty}^{+\infty} e^{-\frac{1}{2}V\chi_*(\theta-2\pi k)^2} = \theta_3(\theta/2, e^{-\tau}). \quad (2)$$

with  $\theta$  being the third elliptic function and  $\tau = 1/(2V\chi_*)$ . The result is manifestly  $2\pi$  periodic. The vacuum energy,  $F_Q(\theta) = -\ln Z_Q(\theta)/V$  as  $V \rightarrow \infty$  is simply  $F_Q(\theta) = \min_{\frac{1}{2}\chi_*} (\theta + \text{mod } 2\pi)^2$  in agreement with the saddle-point approximation. This result is in agreement with the result using large  $N_c$  arguments <sup>4</sup>, and recent duality arguments <sup>5</sup>. We observe that the cusp at  $\theta = \pi \pmod{2\pi}$  sets in for  $V = \infty$ .

In the matrix model being considered the sum over  $\chi$  is restricted to  $|\chi| < N$ , with  $N = \max n$ . We denote by  $\mathbf{n} = N/V$  the maximum density of winding modes. While in general  $\mathbf{n} \neq \mathbf{n}_*$ , for a peaked distribution in  $n$  (small compressibility  $\sigma_*$ ) we expect  $\mathbf{n} \sim \mathbf{n}_*$ . This will be assumed throughout unless indicated otherwise. Hence

$$Z_Q(\theta) = \sum_{\chi=-(N-1)}^{N-1} e^{i\theta\chi} e^{-\chi^2/2V\chi_*} \quad (3)$$

Approximating the sum in (3) by an integral and evaluating it by saddle point we obtain  $Z_Q \sim e^{-V\chi_*\theta^2/2}$  apparently in agreement with the infinite sum. However, the Euler-MacLaurin summation formula shows that deviation from this result in the case of a finite sum is expected for  $\theta > \theta_c \sim \mathbf{n}/\chi_*$  <sup>6</sup>. Indeed, this is confirmed by detailed numerical calculations of the vacuum energy as shown in Fig 1 (left). For  $N = 250$  and  $\chi_* = 1$ , the double precision (16 digit) numerics (circles) breaks away from the saddle point approximation (solid line) at  $\theta/\pi \sim 0.2$  for both  $\mathbf{n} = 1$  and  $\mathbf{n} = 4$ , while the high-precision (64 digits) calculations (dashed line) agree with the saddle point result at

$\mathbf{n} = 4$  but break away at  $\theta/\pi \sim 0.3 \approx 1/\pi\chi_*$  at  $\mathbf{n} = 1$ . On the right we show the numerical result for the  $CP^3$  model <sup>1</sup> showing a similar behavior.

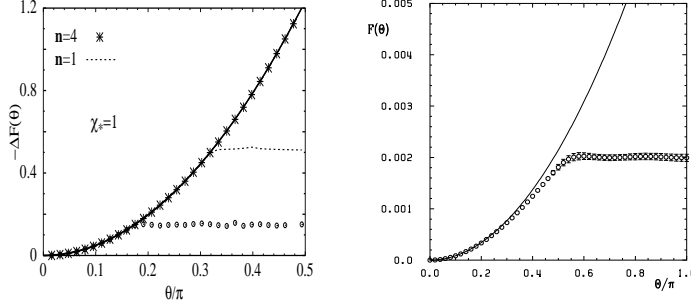


Figure 1. Free energy in a quenched model (left) and in the  $CP^3$  model (right). See text.

**unquenched case:** Analytical and numerical studies of the unquenched matrix model for  $N_f = 1$  and  $N_f = 2$  <sup>6</sup> lead to results similar to the ones observed in the quenched case. The mean-field result breaks down for  $\theta > \theta_c \sim \mathbf{n}/\chi_{top}$ . For small quark masses  $\chi_{top} \sim m$  <sup>7</sup>, the breakdown is observed only for ensembles with very small maximal winding number.

In this talk we have shown that the vacuum energy of a chiral matrix model develops a cusp at  $\theta < \pi$  that is sensitive to the numerical accuracy. The cusp persists at high accuracy if the maximum winding number considered is low, in disagreement with mean-field results. Similar observations in current lattice simulations should therefore be interpreted with care.

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